

# <sup>3</sup> Citations for OIG reports <sup>3</sup> Christi A. Grimm Inspector General May 2023 A-09-21-03021 Found many of the same problems as OIG report from March, 2022 March 2022 A-02-21-01006 Reviewed psychotherapy services, including those provided via telehealth

Medicare Requirements, by Type of Deficiency	
Sampled Enrollee Days	
60 Psychotherapy time was not documented	
43 Treatment plans were incomplete or missing	
24	
No psychotherapy was provided or documentation was missing	
Psychotherapy services had incomplete documentation	
16 Incorrect number of services or incorrect CPT code was billed	
12	
Providers did not comply with incident-to requirements	

# Time spent in psychotherapy not documented

- Time spent in psychotherapy must be documented in the record
- Start and stop times not required (check private payer policies)
- State the time: time of psychotherapy 25 minutes

### Insufficient: code selection describes time 90832 Psychotherapy, 30 minutes with patient

Recorded July 2023 © 2023 Betsy Nicoletti

# Missing or incomplete treatment plans

6

5

"The individualized treatment plan must state the type, amount, frequency, and duration of the services to be furnished and indicate the diagnoses of a mental disorder or disease (e.g., generalized anxiety disorder) and anticipated goals of the psychotherapy treatment (e.g., to reduce symptoms) (CGS's LCD L34353 and NGS's LCD L33632). A periodic summary of treatment goals, progress toward those goals, and an updated treatment plan must be included in the medical record (WPS's LCD L34616 and CGS's LCD L34353)." page 15

# Treatment plans did not comply with Medicare <u>requirements</u>

- No treatment plan
- Did not have type, frequency and duration
- *"For 2 enrollee days, the medical records included initial treatment plans that were established 6 and 8 years before the sampled enrollee days; however, updated treatment plans were not included in the medical records."* page 15

Recorded July 2023 © 2023 Betsy Nicoletti

No psychotherapy done, or documentation mission

8

7

• Documentation sent to the OIG, but it did not support psychotherapy

Or

• No documentation submitted

#### Or (let's not do this)

• *"For 7 enrollee days, the providers furnished documentation for the sampled items; however, they created the documentation after we had requested the medical records, which was more than 8 months after the sampled enrollee days."* 

# No psychotherapy done, or documentation mission

9

"The medical record documentation maintained by the provider must include a <u>detailed summary of the session</u>, including descriptive documentation of therapeutic interventions, such as examples of attempted behavior modification and supportive interaction (FCSO's LCD L33252). Medical records must <u>document the patient's capacity to participate in psychotherapy</u> if psychotherapy is the chosen treatment (WPS's LCD L34616 and Novitas's LCD L35101)."

Recorded July 2023 © 2023 Betsy Nicoletti

No psychotherapy done, or documentation missing

10

- Psychotherapy did not indicate the therapeutic maneuvers (such as behavioral modification, cognitive behavioral therapy, supportive interactions or interpretative interactions)
- Looking for provider documentation of a reasonable expectation for improvement (every session??)

## At every encounter??

11

- *"For 6 enrollee days, the providers did not document that the psychotherapy services were expected to improve the enrollees' condition or maintain the mental health status or function of the enrollees. p.18*
- For 4 enrollee days, the providers did not include in the medical records a periodic summary of goals or progress toward goals." p.18
- *"For 1 enrollee day, the provider did not document the enrollee's capacity to participate in the psychotherapy." p. 19*

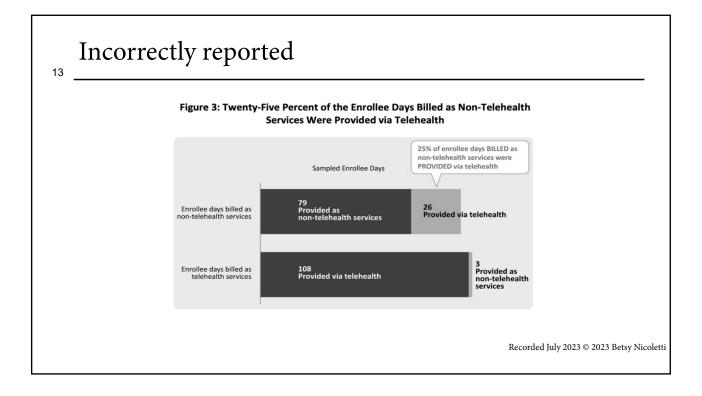
My recommendation: put this in the treatment plan which is then reviewed and updated

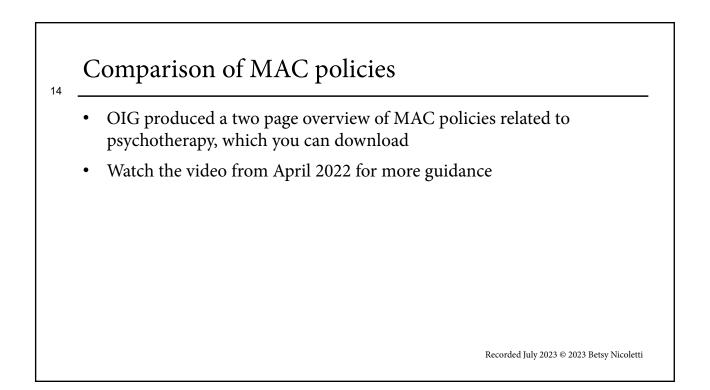
Recorded July 2023 © 2023 Betsy Nicoletti

# Services did not meet incident to requirements

12

- Physician didn't see the patient and initiate the plan of care
- Documentation didn't show that the physician was physically present in the same office suite





# Sing along with me

15

- Document time for psychotherapy
- Sign your notes
- Develop a treatment plan and update it periodically
- Recommendation: include in treatment plan that the patient is able to participate in and benefit from therapy
- If an E/M and psychotherapy at the same visit, document time of psychotherapy only

